



#6/88

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK
OFFICE PATENT OPERATIONS

Art Unit: 1623 Examiner: Unknown

Applicants: Nico RACZEK

Application No. 10/057,107

Filed: January 25, 2002

Title: "Sorbic acid product comprising probiotics as addition to feedstuffs in
agricultural livestock rearing"

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TECH CENTER 1600/2900

Honorable Commissioner for Patents
Washington, DC 20231

Charlotte, North Carolina
May 7, 2002

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR §§ 1.56 and 1.97 *et seq.*, Applicant hereby submits the attached Form PTO/SB/08A and the references listed thereon. The references were cited during prosecution of the corresponding German application of the above-identified patent application filed January 25, 2002

Applicant respectfully requests that each reference be reviewed and made of reference in the instant application.

Respectfully submitted,

Klaus Schweitzer

See attached Limited Liability Recognition Form

ProPat, L.L.C.

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Charlotte, North Carolina 28211-2815

Telephone (704) 365-4881

Attorney's Docket No. 01/018 NUT

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PTO 58/21 (08-00)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/057,107
Filing Date	Jan. 25, 2002
First Named Inventor	Nico Raczek
Group Art Unit	1623
Examiner Name	unknown
Attorney Docket Number	01/018 NUT

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Klaus Schweitzer, ProPat LLC

Signature

K. Schweitzer

Date

May 7, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 5-7-02

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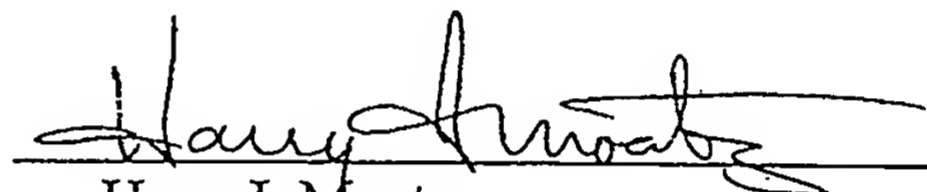
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LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Klaus Schweitzer is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of ProPat, L.L.C. to prepare and prosecute U.S. patent applications wherein the applicant is the client of ProPat, L.L.C., and the attorney of record in the applications is Mr. Gregory Clements (Reg. No. 30713). This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Klaus Schweitzer ceases to lawfully reside in the United States, (ii) Klaus Schweitzer's employment with ProPat, L.L.C. ceases or is terminated, or (iii) Klaus Schweitzer ceases to remain or reside in the United States on an E2 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 5, 2002



Harry I. Moatz
Director of Enrollment and Discipline

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PTO/SB/08A				Complete if Known	
Substitution for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/057,107
				Filing Date	January 25, 2002
				First Named Inventor	Nico N. RACZEK
				Group Art Unit	1623
				Examiner Name	Unknown
Sheet	1	Of	1	Attorney Docket Number	01/018 NUT

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U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No.	U. S. Patent Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS								
Examiner Initials'	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T2
		Office	Number	Kind Code				
		DE	196 08 263 = AU 712872	B2	Kasler et al.	09/22/1997		
		DE	37 24 964 = US 4,743,454	C2	Tomes	02/11/1988		
Examiner Signature				DATE CONSIDERED				

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PTO/SB/08B				Complete if Known		
Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/057,107	
				Filing Date	January 25, 2002	
				First Named Inventor	Nico N. RACZEK	
				Group Art Unit	1623	
				Examiner Name		
Sheet	1	Of	1	Attorney Number	Docket 01/018 NUT	
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials'	Cite No.	Include name of the author (in CAPITAL LETTERS), Title of the Article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published				T2
		A. KERMAUNER et al. "Influence of probiotic addition in different feed mixtures on gastrointestinal tract development and dressing percentage of rabbits" KRMIVA Bd. 38, Vol. 2, 1996, pp 87-94				X
		G. PAHLOW MILCHPRAXIS "Biologische Silermittel: aktueller Stand und Entwicklungsaussichten" Bd. 38, Vol. 1, 2000, pp 30-34				
		S.C. KYRIAKIS et al. "The effect of probiotic LSP 122 on the control of post-weaning diarrhoea syndrome of piglets" RESEARCH IN VETERINARY SCIENCE Bd. 67, Vol. 3, 1999, pp 223-228				X
		M. KIRCHGESSNER et al. "Zur nutritiven Wirkung von Sorbinsäure in der Ferkelaufzucht" JOURNAL OF ANIMAL PHYSIOLOGY AND ANIMAL NUTRITION Bd. 74, Vol. 4-5, 1995, pp 235-242				
		W. HACKL et al. "Ferkelaufzucht ohne Antibiotika" ARCHIV FUER TIERZUCHT Bd. 43, N. Sonderheft, 2000, pp 124-130				
EXAMINER				DATE CONSIDERED		
EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						